# Application of satellite observations for identifying regions of dominant sources of nitrogen oxides over the Indian Subcontinent

Sachin D. Ghude,<sup>1</sup> Santosh H. Kulkarni,<sup>1</sup> Chinmay Jena,<sup>1</sup> Gabriele G. Pfister,<sup>2</sup> G. Beig,<sup>1</sup> S. Fadnavis,<sup>1</sup> and R. J. van der A<sup>3</sup>

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[1] We used SCIAMACHY (10:00 LT) and OMI (13:30 LT) tropospheric NO<sub>2</sub> columns to study diurnal and seasonal patterns in NO<sub>2</sub> concentrations over India. Using characteristics of seasonal variability in tropospheric NO<sub>2</sub> columns, we present a simple methodology to identify the dominant NOx source category for specific regions in India. Regions where the dominant source category is classified as biomass burning are found generally to agree with the ATSR fire count distribution. Relating OMI NO<sub>2</sub> columns to surface NOx emission, we find that biomass burning emission account for an average flux of  $1.55 \times 10^{11}$  molecules  $cm^{-2}s^{-1}$  during the peak burning period. Furthermore, extrapolating this estimated flux to the total burned area for the year 2005, biomass burning is estimated to account for 72 Gg of N emissions. Additional analysis of fire events in Northeast India shows a marked increase in TES retrieved O<sub>3</sub> concentrations, suggesting significant photochemical ozone formation during the peak biomass burning period. Regions where the dominant source type was categorized as anthropogenic are in good agreement with the distribution of major industrial regions and urban centers in India. Tropospheric NO<sub>2</sub> columns over these anthropogenic source regions increased by 3.8% per year between 2003 and 2011, which is consistent with the growth in oil and coal consumption in India. The OMI-derived surface NO2 mixing ratios are indirectly validated with the surface in situ measurements (correlation r = 0.85, n = 88) obtained from the air quality monitoring network in Delhi during August 2010 to January 2011. Most of the OMI-derived surface NO2 values agree with surface-based measurements, supporting the direct utility of OMI observation for emission estimates. Finally, we use OMI NO<sub>2</sub> columns to estimate NOx emissions for selected large cites and major thermal power plants in India and compare these estimates with the INTEX-B and EDGAR emission inventory. We find that, for a few locations, OMI-derived emission show fair agreement; however, for many locations, NOx emissions differ from INTEX-B and EDGAR inventories.

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#### 1. Introduction

[2] Nitrogen oxides (NOx), which are formed mostly by combustion processes (e.g., power plants, vehicles, fires) and to a lesser extent by natural sources (e.g., soils, lightning), play a key role in tropospheric chemistry. They lead to ozone formation, can act as aerosol precursor, contribute to acid rain, and affect the abundance of hydroxyl radicals (OH). Nitrogen dioxide (NO<sub>2</sub>) also plays a role as a pollutant itself. Some epidemiological studies have shown consistent association of long-term NO<sub>2</sub> exposure with increased risk of respiratory symptoms [*Panella et al.*, 2000; *Smith et al.*, 2000; *Gauderman et al.*, 2002] and mortality [*Burnett et al.*, 2004; *Samoli et al.*, 2006]. NO<sub>2</sub> concentrations are also highly correlated with other pollutants either emitted by the same sources or formed through chemical reactions in the troposphere [*Brook et al.*, 2007]. Therefore, accurate knowledge of the global and regional distribution of various NOx sources is required to understand better its impact on air quality and climate change.

[3] Tropospheric NO<sub>2</sub> observation from space began in 1995 with GOME (global ozone monitoring experiment)-1 [*Burrows et al.*, 1999] and has continued with SCIAMACHY (scanning imaging absorption spectrometer for atmospheric

<sup>&</sup>lt;sup>1</sup>Indian Institute of Tropical Meteorology, Pune, India.

<sup>&</sup>lt;sup>2</sup>Atmospheric Chemistry Division, National Center for Atmospheric Research, Boulder, Colorado, USA.

<sup>&</sup>lt;sup>3</sup>Royal Netherlands Meteorological Institute, De Bilt, Netherlands.

Corresponding author: S. D. Ghude, Indian Institute of Tropical Meteorology, Pune 411 008, Maharashtra, India. (sachinghude@tropmet.res.in)

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cartography), OMI (ozone monitoring instrument) and GOME-2 measurements. These measurements have been used successfully to examine spatial and temporal patterns of NOx emissions [Richter et al., 2005; van der A et al., 2006; Ghude et al., 2008, 2009], to examine specific emission sources [Jaegle et al., 2004, 2005, Boersma et al., 2005; Martin et al., 2007; van der A et al., 2008; Ghude et al., 2010], to provide top-down estimates of NOx emission via inverse modeling [Martin et al., 2003, 2006; Müller and Stavrakou, 2005; Zhang et al., 2007; Ashley et al., 2010; Lamsal et al., 2011], to infer NOx lifetime [Leue et al., 2001; Kunhikrishnan and Lawrence, 2004; Beirle et al., 2011] or NOx production from lightning [Boersma et al., 2005; van der A et al., 2008], and to estimate surface NO<sub>2</sub> concentrations [Lamsal et al., 2008: Boersma et al., 2009: Lamsal et al., 2011; Lee et al., 2011]. Observations of a weekly pattern in GOME tropospheric NO<sub>2</sub> [Beirle et al., 2003] and diurnal variation in NO<sub>2</sub> (driven by emissions and photochemistry) from SCIAMACHY and OMI observations demonstrated the capability of detecting temporal patterns of pollution from space. Recent works have compared the SCIAMACHY, GOME [Martin et al., 2006; Bucsela et al., 2008], and OMI [Kramer et al., 2008; Wenig et al., 2008; Celarier et al., 2008; Boersma et al., 2009; Lamsal et al., 2010; Ghude et al., 2011] tropospheric NO<sub>2</sub> columns with coincident airborne/surface-based measurements. Each of these studies clearly suggests that the satellite-observed tropospheric NO2 column retrievals are closely related to ground-level NO<sub>2</sub> concentrations and motivate its use in assessing regional distributions of dominant NOx sources and their growth rates.

[4] For developed nations, there is rather good knowledge of NOx sources available from existing ground-based monitoring networks. In contrast to the United States, Europe, and China, stations in the current monitoring network in India are very sparse. Large regions of India lack accurate and long-term NO<sub>2</sub> measurements, which poses a limit on understanding the regional patterns of dominant NOx sources (e.g., NOx emission from biomass burning, soil, and industries and vehicles). These observations are also insufficient for understanding better the influence of increased human activities on the NOx growth rate over the Indian region. Satellite observations of tropospheric NO<sub>2</sub> are useful to address some of these issues because of their good spatial coverage and long-term measurements over the entire Indian domain.

[5] In our earlier work, we examined spatial patterns of emission hot spots, regional and global trends, and raininduced NOx emission from soils [*Ghude et al.*, 2008, 2009, 2011]. Expanding on our earlier work, the objective of this study is to develop a simple approach to use OMI tropospheric NO<sub>2</sub> column retrievals to partition dominant NOx source regions and gain insight into the spatial pattern of partitioned NOx sources. We build on the work of *van der A et al.* [2008] and demonstrate how satellite-derived information on the seasonal cycle of NO<sub>2</sub> can be used to identify the magnitude and geographic distribution of NOx sources from biomass burning soils and fossil fuel combustion.

[6] Section 3 compares SCIAMACHY and OMI retrievals over the Indian region and try to interpret diurnal difference in terms of diurnal variation of NOx. Section 4 discusses the

spatial distribution of dominant NOx source types (biomass, soil, and anthropogenic) inferred from OMI measurements and quantifies the total NOx emissions from biomass burning sources in India. In combination with retrievals of surface ozone from the Tropospheric Emissions Spectrometer (TES). we also examine the photochemical processes governing ozone formation from large biomass burning sources in Northeast India. We subsequently examine the spatial distribution of anthropogenic NOx sources and quantify the growth rate in tropospheric NO<sub>2</sub> by using satellite measurements. We further compare surface measurements inferred from OMI observation with simultaneous in situ surface NO<sub>2</sub> measurements and show that OMI measurements can be use to estimate ground-level concentration. Finally, we use a top-down approach to infer NOx emission for large cities and high-capacity thermal power plants in India and compare these with bottom-up estimates.

### 2. Satellite Observations

[7] This study uses both tropospheric NO<sub>2</sub> column retrieved from SCIAMACHY aboard Envisat and OMI aboard the Earth Observing System (EOS) Aura. SCIAMACHY tropospheric  $NO_2$  is derived from nadir spectra, with a typical spatial resolution of  $30 \text{ km} \times 60 \text{ km}$ . It crosses the equator at 10:00 local time (LT) in descending mode and covers the globe in 6 days. We use SCIAMACHY NO<sub>2</sub> retrievals for 2003 - 2011 available from the Tropospheric Emission Monitoring Internet Service (TEMIS; http://www. temis.nl, version 2.0). In brief, slant column densities are determined with differential optical absorption spectroscopy (DOAS) in the 426.3-451.3 nm spectral window. The stratospheric (background) slant column is estimated from data assimilation of slant columns in the global chemistrytransport model TM4 [Dentener et al., 2003]. After subtraction of the stratospheric slant column, the residual tropospheric slant column is translated into a tropospheric vertical column by a tropospheric air mass factor. The retrieval algorithm has been described in detail by Boersma et al. (2004) and Blond et al. (2007). Detailed error estimates and kernel information has been given by Eskes and Boersma [2003].

[8] OMI observes the atmosphere in nadir view with a local equator crossing time between 13:40 and 13:50 LT. In standard operation mode, the OMI pixel size is about  $13 \text{ km} \times 24 \text{ km}$ . Together with the SCIAMACHY 10:00 LT, this provides valuable information on the diurnal cycle in NO<sub>2</sub>. The OMI dense spatial coverage and higher spatial resolution increase the likelihood of encountering cloud-free scenes [Krijger et al., 2007]. Two independent tropospheric NO<sub>2</sub> column data products from OMI observations are currently available. These data products are available from the NASA-GES-DAAC (OMI standard product) and from TEMIS (DOMINO product). We use the DOMINO (Dutch OMI NO<sub>2</sub>) product (v2.0) available at http://www.temis.nl [Boersma et al., 2011] for the years 2005 - 2011. Slant columns are determined with the DOAS algorithm in the absorption spectrum of a window of 365-500-nm solar radiation [Boersma et al., 2007]. The contribution of stratospheric NO<sub>2</sub> is then removed [Bucsela et al., 2006; Boersma et al., 2007]. The air mass factor (AMF) corrects for viewing geometry and light-scattering interferences such as clouds and aerosol particles. This AMF is applied

to convert the measured slant columns into tropospheric vertical column densities. OMI retrieval errors have an absolute component of  $\sim 1.0 \times 10^{15}$  molecules cm<sup>-2</sup> and a relative (AMF) component of 30% [*Boersma et al.*, 2007]. Further details on retrievals and error budget have been discussed by *Boersma et al.* [2007].

[9] In addition to OMI and SCIAMACHY data, we include tropospheric ozone retrievals from the TES. TES was launched into sun-synchronous orbit aboard Aura on 15 July 2004. TES provides a global view of tropospheric trace gas profiles [Worden et al., 2004], with measurements available since 2005. An overview of the TES retrieval algorithm and error estimation has been provided by Bowman et al. [2006]. Tropospheric ozone retrievals from TES have been validated against ozonesonde and lidar measurements. and it was found that the values are positively biased upward by as much as 15% from the surface to the upper troposphere (1000 to 100 hpa) compared with ozonesonde data [Worden et al., 2009; Boxe et al., 2010]. Nassar et al. [2008] have shown that TES O<sub>3</sub> is biased upward by 9.6 ppb in the lower troposphere. The current study used the TES level 3 (L3) version 1.0 data product at the surface level (available at http://gdata1.sci.gsfc.nasa.gov/). Further details on retrievals and algorithms for producing TES level 3 data have been provided by Luo et al. [2007].

### 3. Intercomparison

# 3.1. Comparison of SCIAMACHY and OMI NO<sub>2</sub> Retrievals Over the Indian Region

[10] Here we compare the spatial distribution of OMI and SCIAMACHY tropospheric NO<sub>2</sub> (version 2.0) over the Indian region. Both data sets use a common algorithm for tropospheric NO<sub>2</sub> retrievals. Further details on the retrievals have been provided by *Boersma et al.* [2011]. For the monthly average data (for OMI and SCIAMACHY), only pixels with a cloud radiance fraction of less than 50% (cloud fraction less than ~0.2) and solar zenith angle (SZA) of less than 80° are used. Data affected by the row anomalies and

data pixels with a snow surface are not included. Our interest here is to examine diurnal variation in NO<sub>2</sub> observed from these satellite instruments. The main differences between the OMI and the SCIAMACHY observations are indeed the local overpass time and the resolution. The wavelengths used (for OMI 405-465 nm and SCIAMACHY 426.3 - 451.3 nm) for the retrieval are same, and there is no significant difference between OMI and SCIAMACHY retrievals from fitting the modeled spectrum to the measured reflectance differences of these instruments [Boersma et al., 2008]. The overpass time affects the ratio between the OMI (13:30 LT) and SCIAMACHY (10:00 LT) observation [Boersma et al., 2008, 2009] and provides information on diurnal variation of NOx emissions and chemistry, depending on the source of  $NO_2$  (anthropogenic, soil, or biomass burning). The spatial resolution of OMI is variable, between  $24 \times 13$  km<sup>2</sup> and  $24 \times 120$  km<sup>2</sup>, depending on the position within the swath. The SCIAMACHY resolution is  $30 \times 60 \text{ km}^2$ . Therefore, it is important to be aware of these differences. The sensitivity of OMI and SCIAMACHY instruments are quite comparable, and the averaging kernels of OMI and SCIAMACHY are very much alike. Cloud parameters for OMI and SCIAMACHY are retrieved with somewhat different algorithms, which shows a small difference in cloud-top pressure (OMI on average higher by 60 hPa) [Boersma et al., 2007]; however, the differences between NO<sub>2</sub> retrievals are expected to be very small for the scenes with a cloud radiance fraction of less than 50% [Boersma et al., 2008].

[11] Figure 1a and 1b shows the mean tropospheric NO<sub>2</sub> column amounts from SCIAMACHY ( $0.25^{\circ} \times 0.25^{\circ}$ ) and OMI ( $0.125^{\circ} \times 0.125^{\circ}$ ) over the Indian region, averaged over the 2005–2010 period. SCIAMACHY and OMI mean tropospheric NO<sub>2</sub> columns have similarities in their spatial distribution, with pronounced enhancements (>4.5 × 10<sup>15</sup> molecules cm<sup>-2</sup>) over major industrial regions, over metropolitan areas, and over large coal-based thermal power plants. Both observe large-scale pollution over the densely populated northern plain (Indo-Gangetic



**Figure 1.** Tropospheric NO<sub>2</sub> ( $1 \times 10^{13}$  molecules cm<sup>-2</sup>) annual climatology (2005 – 2010) over India as observed from (a) SCIAMACHY at  $0.25^{\circ} \times 0.25^{\circ}$  and (b) OMI at  $0.125^{\circ} \times 0.125^{\circ}$  grid resolution. Box-1 indicates the Mumbai-Gujarat industrial corridor region and box-2 a central and southern Indian region with a high localization of cities and industrial centers. (c) Population density (persons/km<sup>2</sup>) of India, 2005 (data source: http://sedac.ciesin.columbia.edu/gpw).

[IG] region) of India (see Figure 1c) and lower values  $(<1.5 \times 10^{15} \text{ molecules cm}^{-2})$  over the central Madhya Pradesh, western Rajasthan, and northeastern India (Assam, Arunachal Pradesh, Manipur, etc.), where population density is low. Both exhibit a similar seasonal cycle (not shown) with a minimum during monsoon season (JJAS) reflecting the shorter lifetime and wet removal of NOx [Crutzen and Lawrence, 2000]. A detailed analysis of emission hot spots in India and their seasonal variation has been provided by Ghude et al. [2008]. Compared with SCIAMACHY, OMI tropospheric NO2 columns reveal a clearer picture because of the higher resolution. For example, OMI NO<sub>2</sub> resolves pollution from individual cities along the Mumbai-Gujarat Industrial corridor (marked as region 1) and many localized point sources (cities or medium-capacity thermal power plants) from central and southern India (marked as region 2).

[12] Figure 2 shows scatterplot of OMI and SCIAMACHY both mapped on the same grid scale ( $0.25^{\circ} \times 0.25^{\circ}$ ) over the Indian region. The inset shows the frequency distribution of the absolute differences between SCIAMACHY and OMI overlaid by a Gaussian fit line. The spatial distributions of OMI and SCIAMACHY mean tropospheric NO<sub>2</sub> columns are highly correlated, with a correlation coefficient of  $r^2 = 0.93$ . We find that ~79% (Gaussian fit centered at -0.21) of the OMI values within this region are higher than SCIAMACHY values, with differences generally in the range between 0 to  $-0.4 \times 10^{15}$  molecules cm<sup>-2</sup>.

## 3.2. Diurnal Variation

[13] Figure 3a shows the absolute difference between SCIAMACHY and OMI mean tropospheric NO<sub>2</sub> columns for the 2005 – 2010 period averaged over a  $0.25^{\circ} \times 0.25^{\circ}$  grid. Figure 3b is the same as Figure 3a, but the absolute difference is plotted for winter months only (December January average). Wintertime SCIAMACHY NO<sub>2</sub> column amounts are generally higher than OMI over large fossil fuel source regions, which is particularly pronounced over urban/suburban areas in India such as Delhi, Hyderabad, Bangalore, Mumbai, Ahmedabad, Pune, etc. On an annual



**Figure 2.** Scatterplot of SCIAMACHY tropospheric NO<sub>2</sub> vs. OMI tropospheric NO<sub>2</sub> averaged for 2005–2010. Each point represents the monthly mean value for a  $0.25^{\circ} \times 0.25^{\circ}$  grid cell over the region 5–28°N and 67–98°E. The inset shows a histogram plot of the absolute differences between SCIAMACHY and OMI (vertical bars bin size = 0.2).

scale, OMI and SCIAMACHY show smaller differences. SCIAMACHY  $NO_2$  columns are also higher than OMI over the marine region downwind of Mumbai, reflecting a strong diurnal cycle in  $NO_2$  in the marine boundary layer.

[14] These differences might be attributed to the diurnal variation of tropospheric NO<sub>2</sub>. Over large cities in India, NOx concentrations peak during daytime and reach a minimum in late afternoon, reflecting the diurnal cycle in rush-hour traffic emission and photochemical loss processes [Reddy et al., 2009; Purkait et al., 2009; Sharma et al., 2010]. The OMI overpass time is 3 h later than SCIAMACHY, and by the time of the OMI overpass more  $NO_2$  has been removed from the atmosphere than at the time of the SCIAMACHY overpass. These results also appear consistent with findings of *Boersma et al.* [2008] over fossil fuel source regions at northern midlatitude, where SCIAMA-CHY observed 5 - 40% higher NO<sub>2</sub> than OMI. Furthermore, Boersma et al. [2008] showed that higher SCIMACHY NO<sub>2</sub> columns than OMI over fossil fuel cannot be attributed to retrieval artifacts. Difference between mean tropospheric NO<sub>2</sub> columns measured by SCIAMACHY and OMI (Figure 3a) are less over relatively less populated and less polluted areas (see Figure 1c).

[15] In some cases, OMI shows higher NO<sub>2</sub> columns over coal-fired power plants, particular those power plants (individual or clustered in a small area) with power generation capacity greater than 1800 MW (circles in Figure 3b). These power plants appear as hot spots also in the annual average. A similar diurnal difference (not shown here) is also seen in the model simulation [Ghude et al., 2012; manuscript in preparation]. Boersma et al. [2008] studied thermal power plants in the southern United States and attributed the higher OMI NO<sub>2</sub> columns to a maximum in NOx emission in the afternoon. However, in India, thermal power plants do not exhibit such a diurnal cycle, and it would be expected that OMI columns are smaller than those of SCIAMACHY because of increased chemical loss at midday. The reasons for OMI retrieving higher NO2 columns than SCIAMACHY over these power plants are not clear and should be investigated further. Downwind of these high-capacity thermal power plants (winds are northeasterlies during winter), however, SCIAMACHY NO<sub>2</sub> columns are higher than OMI NO<sub>2</sub> columns, reflecting a strong diurnal cycle. Over the remote Indian Ocean, where there is minimum variability, we find that SCIAMACHY tropospheric NO2 columns are on average  $0.22 \times 10^{15}$  molecules cm<sup>-2</sup> lower than OMI columns.

# 4. Source Attribution

[16] Each source of NOx has specific characteristics that determine its seasonal variability, and this information can be used to identify the dominant source type of NOx emissions [van der A et al., 2008]. This section analyzes the seasonal cycle in OMI tropospheric NO<sub>2</sub> columns over regions where the dominant source type is known. The sources considered here are biomass burning, anthropogenic sources, and soil. Figure 4 shows three example of the seasonal cycle (climatology 2005 - 2010) in tropospheric NO<sub>2</sub> columns for selected locations dominated by biomass burning (Figure 4a), soil (Figure 4b), and anthropogenic (Figure 4c) sources. In India, the seasonal cycle of NOx over the regions



**Figure 3.** Absolute difference between SCIAMACHY and OMI tropospheric NO<sub>2</sub> column over India. (a) Annual mean difference for 2005 – 2010 and (b) mean difference for winter months only (December January 2005 – 2010). Both SCIAMACHY and OMI data are averaged over a  $0.25^{\circ} \times 0.25^{\circ}$  grid. Circles indicate high-capacity thermal power plants, and stars correspond to cities. Bottom panels show the difference between SCIAMACHY and OMI tropospheric NO<sub>2</sub> over the Indian Ocean (5°S–5°N,  $60 - 90^{\circ}$ E) annually and for winter seasons.

dominated by biomass burning, soil, and anthropogenic typically has its maximum in March – April [*Venkataraman et al.*, 2006; *Ghude et al.*, 2010], June (onset of summer monsoon) [*Ghude et al.*, 2010], and December – January [*Ghude et al.*, 2008], respectively. This is reflected in Figure 4, showing that each NOx source type has its own signature in the annual cycle. Figure 4a shows the signals of NOx emission from biomass burning for a region in the northeastern ( $92 - 96^{\circ}E$ ,  $21 - 27^{\circ}N$ ) and central ( $76 - 78^{\circ}E$ ,  $20 - 22^{\circ}N$ ) parts of India. Similarly, Figure 4b shows the signals of NOx emission for a region in central Madhya Pradesh ( $75 - 80^{\circ}E$ ,  $22 - 24^{\circ}N$ ), and Figure 4c shows signals of NOx emission from anthropogenic emission for the industrialized cities Mumbai, Delhi, and National Capital Region (NCR;  $75 - 80^{\circ}E$ ,  $27 - 30^{\circ}N$ ).

[17] With examination of the seasonal cycle, we use a simple set of classification rules to identify the dominant source type of NOx. A grid is identified as dominated by biomass burning, anthropogenic, or soil sources if the month of maximum  $NO_2$  coincides with March – April,

December – January, or June, respectively. The general expression for the decision criteria can be written as:

$$[OMI_{NO2_{max}}]_1^{12} - OMI_{NO2}(m) = 0,$$
(1)

where  $m = 1, 2, 3 \dots 12$ ; where  $[OMI_{NO2max}]_1^{12}$  is the maximum tropospheric NO<sub>2</sub> amount at every grid cell observed between January (month number 1) and December (month number 12) for the study year; and where  $OMI_{NO2}$  (*m*) is the tropospheric NO<sub>2</sub> for the month *m* in that year (for example,  $OMI_{NO2}(1)$  represents tropopsheirc NO<sub>2</sub> amount for the month of January). Appling this equation to each OMI grid cell will return a zero value according to the dominant source in a particular grid and thus provides the information on the dominant source category for that grid.

### 4.1. Biomass Burning

[18] Biomass burning both from forested areas and from croplands in India occurs mainly during February – May. Cropland burning varies with geographic location,



**Figure 4.** Mean seasonal variation (2005 - 2010) of OMI tropospheric NO<sub>2</sub> column averaged over selected regions dominated by (a) biomass burning, (b) soil, and (c) anthropogenic NOx emission.

corresponding to major harvesting seasons in the region, but is predominant in March and April [*Venkataraman et al.*, 2006; *Ghude et al.*, 2010]. Figure 5a shows areas with a March – April maximum during 2005 and represents a spatial distribution that likely can be attributed to NOx emissions from biomass burning, with lesser contributions from other sources. Tropospheric NO<sub>2</sub> columns over these grid cells (representative of biomass burning) are in the range of about  $2 - 3 \times 10^{15}$  molecules cm<sup>-2</sup>. Most of these grid cells are found to represent regions where either population density is low or large industrial activities are not present. Regions characterized as biomass burning are found generally to agree with the distribution of Along Track Scanning Radiometer (ATSR) fire counts ( $0.5^{\circ} \times 0.5^{\circ}$ ; Figure 5b). The distribution observed in Figure 5a and 5b



**Figure 5.** (a) Spatial distribution of OMI NO<sub>2</sub>  $(1 \times 10^{13} \text{ molecules cm}^{-2})$  for 2005 for regions with a maximum in the seasonal cycle in tropospheric NO<sub>2</sub> during March April. For these regions the dominant source type is estimated as biomass burning. (b) ATSR fire counts over the India region during March – April 2005 over a  $0.5^{\circ} \times 0.5^{\circ}$  grid.

reflects what is known about forest and cropland burning patterns in India [*Venkataraman et al.*, 2006]. It can be seen from Figure 5a that most of the Northeast region  $(92 - 96^{\circ}\text{E}, 21 - 27^{\circ}\text{N})$  shows biomass burning as a dominant source of NOx emission. Average tropospheric NO<sub>2</sub> columns in this region reach up to ~2.6 × 10<sup>15</sup> molecules cm<sup>-2</sup>. ATSR fire data also show high fire frequency in this region. NOx from biomass burning in central India,

southern India, and eastern India is also captured well in the OMI observations. It can be seen in Figure 5a that the identification scheme fails over parts of the central and western Indio-Gangetic plain. In this region, the dominating source of NOx is emissions from strong anthropogenic activity. The longer lifetime of NO<sub>2</sub> in winter compared with summer results in a pronounced seasonal maximum in December ( $\sim 5.8 \times 10^{15}$  molecules cm<sup>-2</sup>) and a less pronounced peak ( $\sim 4 - 4.3 \times 10^{15}$  molecules cm<sup>-2</sup>) for the biomass burning period. This region will be discussed in more detail in section 4.3.

# 4.1.1. Estimation of NOx emissions from biomass burning

[19] To estimate the NOx emission from biomass burning. we focus on the northeastern part of India  $(92 - 96^{\circ}E)$ ,  $21 - 27^{\circ}$ N). NOx sources in this rural area are not as sharply localized as in most other regions, and the region is characterized by low population density and minor industrial/ vehicular activities. On annual average, tropospheric NO<sub>2</sub> columns are low over this region and close to the detection limit of the satellite retrievals ( $\sim 1.2 \times 10^{15}$  molecules  $cm^{-2}$ ; Figure 1). Almost 90% of the area in this region is occupied by dense forest (Joshi, 1991), and large forest fires generally occur during the dry seasons. As discussed above, fire frequency peaks in March, with OMI average tropospheric NO<sub>2</sub> columns reaching up to  $2.6 \times 10^{15}$  molecules  $cm^{-2}$ , well above the detection limit of the satellite retrievals. For a more detailed investigation of biomass burning-induced NOx enhancement, we examined the dayto-day variation of OMI tropospheric NO2 columns averaged over the study area. Figure 6 shows the time series of OMI tropospheric NO<sub>2</sub> columns for cloud fractions less than 0.2 during 2005 (data with cloud radiance fraction <50% and SZA  $<80^{\circ}$  are used, and data affected by the row anomalies and data pixels with a snow surface are not included). A clear seasonal enhancement in tropospheric NO<sub>2</sub> columns is evident during biomass burning season (mid-February to mid-April), in agreement with previous studies [Venkataraman, et al., 2006; Chand Kiran et al., 2006], followed by lower column amounts in the remaining seasons. The graph shows a large day-to-day variability during



**Figure 6.** Day-to-day variation of OMI tropospheric  $NO_2$  VCDs averaged over a northeastern region of India for the year 2005. The gray line denotes daily values and the black line a 5-day running mean.

burning season, reflecting the variable nature of fires. Tropospheric NO<sub>2</sub> columns as high as  $3.6 \times 10^{15}$  molecules cm<sup>-2</sup> (18 March) have been observed by OMI during the peak fire season. Mean biomass burning-induced NOx enhancement during the peak burning period is about  $3 \times 10^{15}$  molecules cm<sup>-2</sup>.

[20] During March, air masses traveling into this region are generally from the west; i.e., the selected region is downwind of strong anthropogenic sources (e.g., the city Dhaka). However, surface wind shows stagnant patterns over this region, with low wind speeds (mean wind speed 1.5 m/s; http://facstaff.unca.edu/), and we expect the transport of anthropogenic emissions to have a small effect. We evaluated the contribution of transported anthropogenic pollution from the nearby point source (Dhaka) using the relationship

$$T_{NO2} = OMI_{NO2} \times exp(-t/\tau_{NOx}), \qquad (2)$$

where  $OMI_{NO2}$  is the tropospheric  $NO_2$  column over the nearby anthropogenic region, *t* is the transport time between a nearby region and the study region (calculated using mean wind speed [~1.5 m/s]), and  $\tau_{NOx}$  is the NOx lifetime (~7 h over tropics in March [*Martin et al.*, 2003]). The horizontal distance between Dhaka and the study region is >250 km, yielding a contribution of transported NOx less than 5%. We therefore assume that NOx emissions in this region during March are exclusively associated with biomass burning. Our estimate is to be considered as an upper limit, because some local nonburning sources are present; however, the interference from these sources is expected to be small.

[21] To estimate the NOx emissions from biomass burning, we relate the OMI tropospheric NO<sub>2</sub> columns to surface NOx emission using an inverse method as described by *Martin et al.* [2003]. This method has been used successfully to estimate NOx emissions from various sources such as anthropogenic sources, soils, etc., in recent years (Martin, 2003; *Müller and Stavrakou*, 2005; *Jaegle et al.*, 2004, 2005; *Zhang et al.*, 2007; *Ashley et al.*, 2010; *Ghude et al.*, 2010; *Lamsal et al.*, 2011; *Lee et al.*, 2011). Topdown NOx emission, E, can be inferred by mass balance through a linear relationship between E and retrieved tropospheric NO<sub>2</sub> column OMI<sub>NO2</sub>:

$$E = \alpha \text{ OMI}_{\text{NO2}},$$
 (3)

where,

$$\alpha = (T_{\rm NOx}/T_{\rm NO2})/\tau_{\rm NOx} \tag{4}$$

 $T_{NOx}$  and  $T_{NO2}$  in equation (4) are the tropospheric NOx and NO<sub>2</sub> columns, respectively; and  $\tau_{NOx}$  is the NOx lifetime. We obtained the  $T_{NOx}/T_{NO2}$  ratio here from the MOZART-4 model simulation (simulation results are taken from http:// www.acd.ucar.edu/wrf-chem/mozart.shtml), close to satellite overpass time for March for the study region. The MOZART-4 simulations used here are from the standard simulation described by Emmons et al. [2010]. The model is driven by NCEP/NCAR-reanalysis meteorology and uses emissions based on POET, REAS, and GFED2 [see Emmons et al., 2010]. These results are available at  $2.8^{\circ} \times 2.8^{\circ}$  horizontal resolution and give output for every 6 h. We assumed NOx lifetime of 7 h [Martin et al., 2003] to calculate  $\alpha$  from equation (4). Horizontal transport of NOx from the surrounding areas over the study region, which smears the local relationship between NO<sub>2</sub> column and NOx emission, is a source of error in the application of equation 3. As discussed above, the effect of the horizontal transport is likely to be smaller than the estimated biomass burning emission signal. Therefore, we neglect the effect from horizontal transport of NOx.

[22] Using equation 3, the resulting NOx emissions from biomass burning is estimated to account for an average flux of  $1.55 \times 10^{11}$  molecules cm<sup>-2</sup> s<sup>-1</sup> during the peak burning period. By further relating the average flux of  $1.55 \times 10^{11}$  molecules cm<sup>-2</sup> s<sup>-1</sup> to the area burned product from MODIS (MCD45A1) [Roy et al., 2002] for all of India, we can estimate the amount of NOx emissions from open biomass burning in India. The annual estimate of MCD45A1 burned area (forest, shrubland, grassland, and cropland) for India during 2005 is approximately  $62.200 \text{ km}^2$ [*Chang and Song*, 2010]. This leads to an estimated emission of 72 Gg (N) yr<sup>-1</sup> from biomass burning in India for the year 2005. The total NOx emissions from biomass burning in India are estimated to be 114 Gg (N)  $yr^{-1}$  and 91 Gg (N) yr<sup>-1</sup> in the EDGAR (v4.2) and GICC emission inventories (for 2005), respectively, which is somewhat higher that the estimate made in the present study using top-down approach. On the other hand, emission from biomass burning in India is estimated to be 32 Gg (N)  $yr^{-1}$ in the GFEDV3.1 and 260 Gg (N)  $yr^{-1}$  in the NCAR fire emission inventory (FINN) [Wiedinmver et al., 2011], which differ significantly from the estimate made in the present study. The difference between our estimate and EDGAR/GFEDV3.1 may be due to the fact that the uncertainty associated with the emission estimate from NO<sub>2</sub> satellite data results from uncertainties regarding the size of the burned area, fuel load, combustion factor, and type of vegetation burned. Furthermore, the uncertainty in the retrieval of the tropospheric NO<sub>2</sub>, uncertainty in the lifetime of NO<sub>2</sub>, and uncertainty in NOx/NO<sub>2</sub> column ratio derived from the model are expected to add additional uncertainty to the top-down estimate. OMI retrieval uncertainties are stated to be about 30 - 60%, whereas it is difficult to assess the uncertainty of the satellite-derived burned area product (Hoelzemann et al., 2004). Table 1 shows an example of an annual estimate of NOx emission from biomass burring in India derived from the GFEDv3.1 [Giglio et al., 2010] burned area product during the period 2005 - 2010. It can be seen that there is significant year-to-year variability in NOx emission from biomass burning in India.

# 4.1.2. Photochemical ozone formation in biomass burning season

[23] Biomass burning is believed to be an important source of ozone precursors such as CO and NOx [*Crutzen and* 

**Table 1.** NOx Emission Estimate From Biomass Burning DerivedFrom the GFEDv3.1 Burnt Area Product

Year	Burnt Area (Sq.Km) (GEFDv3.1)	Emission Estimate Gg (N) yr <sup>-1</sup>	
2005	28,141	32.3	
2006	22,318	25.6	
2007	34,309	39.4	
2008	34,462	39.5	
2009	36,518	41.9	
2010	50,202	57.6	

*Carmichael*, 1993]. Here, we examine the photochemical production of ozone resulting from extensive biomass burning using daily averaged  $O_3$  retrievals at the surface level from TES (L3, v2.0) and tropospheric NO<sub>2</sub> measured by OMI, together with ATSR fire counts [*Arino et al.*, 2011] for the period January 2006 to December 2007. As described above, our analysis focuses on the Northeast region. This region is one of the least explored regions in India, and satellite data provide essential information to gain more insight into the area's sources and chemical characteristics.

[24] Figure 7 (top) shows day-to-day variations of surface ozone and tropospheric NO<sub>2</sub> averaged over the study region for 2006 – 2007 (5-day running mean), and Figure 7 (bottom) shows ATSR fire counts for the same period. Lowest ozone values ( $\sim$ 30 – 40 ppbv) are found during monsoon season from about June to August. The Indian summer monsoon leads to influx of relatively clean air masses of marine origin, and heavy precipitation and less solar radiation lead to washout of precursor gasses and less photochemical ozone



**Figure 7.** Time series of daily OMI (blue) tropospheric NO<sub>2</sub> VCDs and TES (red) retrieved ozone (surface level retrieval) averaged over a region in Northeast India  $(92 - 96^{\circ}E, 21 - 27^{\circ}N)$  for January 2006 to December 2007 (top) and ATSR fire count totals over the same region (bottom).

formation. In about September, ozone levels again start increasing, reaching  $\sim 55-65$  ppbv during September–February. These higher ozone levels can be attributed mostly to the prevailing wind pattern during the postmonsoon and winter season, which transports ozone from the northeastern part of the IG region as well as from East Asia to the study region.

[25] During the biomass burning season around March, significantly enhanced levels of ozone, up to 75 - 90 ppbv, are seen. The enhancement in ozone is consistent with a significant increase, up to  $3.4 - 4.5 \times 10^{15}$  molecules cm<sup>-2</sup>, in tropospheric NO<sub>2</sub> columns during the same period. Given the timing, it can be inferred that both enhancements in surface ozone and NO<sub>2</sub> columns are associated with biomass burning, indicating that precursors emitted from biomass burning together with the abundance of sunlight lead to significant photochemical ozone production.

[26] To identify changes in ozone that are associated with changes in tropospheric NOx from fire emissions, we have selected only those observations for which at least one fire is detected in the study area based on ATSR fire counts. In Figure 8, we show correlations between TES surface ozone and OMI tropospheric NO<sub>2</sub> for these criteria. A positive correlation (R = 0.68, n = 36) is seen between O<sub>3</sub> and NO<sub>2</sub>, indicating that variations in O<sub>3</sub> mixing ratios during the fire events are influenced primarily by NOx emissions from biomass burning sources in this region. The slope of the linear fit between ozone and NOx suggests an increase of ~6 ppbv of ozone per  $1 \times 10^{15}$  molecules cm<sup>-2</sup> in tropospheric NO<sub>2</sub>. It should be noted that, although TES can detect ozone both in the lower and in the upper troposphere, TES retrievals are less sensitive to lower tropospheric ozone [Worden et al., 2004], with a positive bias up to 10 ppb or more [Nassar et al., 2008; Boxe et al., 2010]. Because of this, confidence in the surface level data is limited. Increase in surface ozone during the fire events, however, demonstrates that, even with the limited sensitivity to surface ozone retrievals, TES is able to detect photochemical ozone formation during fire events.



**Figure 8.** Relationship between tropospheric NO<sub>2</sub> and ozone for fire events between 2005 and 2008 averaged over a northeastern  $(92 - 96^{\circ}\text{E}, 21 - 27^{\circ}\text{N})$  region of India. The regression analysis parameters are given in the figure.

## 4.2. Soil Emission

[27] Soil emission of NOx can be expected to be an important source in regions with agriculture and grassland [Yienger and Levy, 1995; van der A et al., 2008]. Yienger and Levy [2005] showed that the soil NOx emissions are temperature and moisture dependent and are augmented by the use of fertilizer [Bertram et al., 2005] and by pulsing as a sudden increase in NOx measured after rainfall [Jaegle et al., 2004]. In a recent study in India, Ghude et al. [2010] showed that bursts in soil NOx emission occur after the onset of summer monsoon rainfall and have a maximum in the months of May and June. The spatial distributions of regions where soil emissions are estimated to be the dominant source type are shown in Figure 9. We include this result here for completeness but do not provide a detailed analysis; this has been provided by Ghude et al. [2010].

#### 4.3. Anthropogenic Emission

[28] Seasonal cycles in NOx over fossil fuel source regions have their maximum typically in December–February because of the longer lifetime of NOx in the tropics during winter season [*Martin et al.*, 2003; *van der A et al.*, 2008]. A clear seasonal maximum in the month of December or January is seen over strong anthropogenic source regions such as Delhi, Mumbai, and the NCR (Figure 4c). A similar winter maximum in NOx has also been reported with ground-based in situ measurement from urban locations in India [e.g., *Ghude et al.*, 2008; *Purkait et al.*, 2009; *Sharma et al.*, 2010; *David and Nair*, 2011]. The



**Figure 9.** Spatial distribution of OMI NO<sub>2</sub>  $(1 \times 10^{13} \text{ molecules cm}^{-2})$  for 2005 for regions with a maximum in the seasonal cycle in tropospheric NO<sub>2</sub> during June. For these regions, the dominant source type is estimated as soil emissions.

seasonality for the NCR region in Figure 4c shows a secondary maximum in MAM. This secondary maximum corresponds to contributions from NOx emissions from biomass burning, although the dominant source of NOx is still from fossil fuel combustion. The seasonal minimum in the month of July August over these locations can be attributed to wet removal of NOx during summer monsoon [*Crutzen and Lawrence*, 2000; *Paramee et al.*, 2005].

[29] When we apply equation (1) to each grid cell, it yields a spatial distribution of NO<sub>2</sub> observations that can likely be attributed to NOx emission from anthropogenic sources without contributions from other sources (Figure 10). NO<sub>2</sub> columns over these grid cells are on the order of  $3.5 \times 10^{15}$  molecules cm<sup>-2</sup> or higher. The defined regions represent most of the major industrial regions and urban centers and agree well with independent major emission hot spots shown in Figure 11. Figure 10 distinguishes anthropogenic emission from the Mumbai-Gujarat industrial corridor, from western and eastern parts of the IG region, and from individual hot spots that are representative of large-capacity thermal power plans and large cities in India. Close examination of Figures 10 and 11 reveals that anthropogenic emissions from the western part of the IG region are dominated largely by vehicular sources (transportation sector), whereas anthropogenic emissions from the eastern part of the IG region seem to be dominated mostly by emissions from thermal power plants [Ghude et al., 2008]. Anthropogenic emissions from the Mumbai-Gujarat industrial corridor include contributions from both the power/industrial sector and the transportation sector.



**Figure 10.** Spatial distribution of OMI  $(1 \times 10^{13} \text{ molecules } \text{cm}^{-2})$  for 2005 for regions with a maximum in the seasonal cycle in tropospheric NO<sub>2</sub> during December – January. For these regions, the dominant source type is estimated as anthropogenic.

### 4.3.1. Trend in anthropogenic NO<sub>2</sub> emissions

[30] To estimate trends in anthropogenic NOx, we use OMI observations over India for the period 2005 - 2011. Since SCIAMACHY covers a longer time period than OMI, we have also estimated the trend between 2003 and 2011 by using the SCIAMACHY observation. We further select only those grids cells in Figure 1b that are representative of urban centers and industrial locations. Over most of the urban centers and major industrial locations, mean tropospheric NO<sub>2</sub> columns are above  $3.5 \times 10^{15}$  molecules cm<sup>-2</sup>, as can be seen from Figure 10. Therefore, we defined all grids in which tropospheric NO<sub>2</sub> columns are above  $3.5 \times 10^{15}$  molecules  $\rm cm^{-2}$  as representative of high anthropogenic activity. The ratio of total NO<sub>2</sub> column over the selected region to the total NO<sub>2</sub> column over India is observed to be 0.58. Monthly mean tropospheric NO2 columns over these grids are averaged to obtain a single time series for the Indian region. A linear model [Ghude et al., 2011] with a seasonal component is used to fit the time series. The time series of NO<sub>2</sub> is represented by the function

$$\theta(t) = \alpha(t) + \beta(t) \cdot \text{trend}(t) + \text{resid}(t).$$

[31] Here,  $\theta(t)$  represents the monthly mean NO<sub>2</sub> column of month t, and  $\alpha(t)$  time-dependent 12-month seasonal coefficients and  $\beta(t)$  are the time-dependent 12-month trend coefficients for the NO<sub>2</sub> time-series [trend(t)]. Resid(t) represents the residual or noise. Figure 12 shows an example of the monthly and annual averaged time series (OMI [red] and SCIAMACHY [blue]) for the Indian region. There is a clearly increasing trend in anthropogenic NOx emission over India. OMI and SCIAMACHY show a growth rate of  $2.9\% \pm 1.9\%$  yr<sup>-1</sup> and  $3.8\% \pm 2.2\%$  yr<sup>-1</sup> and are found to be significant at the two-sigma error level. This estimate is comparable to the growth rate in NOx emission estimated in bottom-up inventories such as EDGAR (v4.2; 4.2% yr<sup>-1</sup>), GAINS  $(3.6\% \text{ yr}^{-1})$ , or *Garg et al.* [2006]  $(4.4\% \text{ yr}^{-1})$  and can be attributed to the growth in power, transportation, and industrial sectors in India. According to the U.S. Energy Information Administration (EIA; available at http://www. eia.gov/cfapps/ipdbproject) estimate, oil consumption in India has increased from ~2.3 million barrels/day in 2003 to ~3.2 million barrels/day in 2010 (approximately a 39% increase). Similarly, coal consumption increased by about 65% between 2003 and 2010.

#### 4.3.2. Comparison with ground-based measurements

[32] Our interest here is to compare the OMI tropospheric NO<sub>2</sub> measurements with coincident surface-based in situ NO<sub>2</sub> measurements from urban regions and to quantify further the NOx emissions from specific point sources (such as large cities and high-capacity thermal power plants). We use hourly averaged ambient concentrations of NO<sub>2</sub> measured at four different sites in Delhi as part of the Ministry of Earth Sciences System of Air Quality Forecasting and Research (SAFAR; http://safar.tropmet.res.in/), Government of India's air quality monitoring project for the Commonwealth Games (CWG) in 2010 (3–14 October). This is the only data set available to us for comparison and poses a limitation in our comparison. At these sites, ambient NO<sub>2</sub> was monitored from 23 September 2010 to 10 January 2011 using standard chemiluminescence NOx analyzer



**Figure 11.** Locations of major hot spots in India where emissions are dominated by (a) vehicular sources or (b) thermal power plants. (Source: *Sahu* [2010].)

using molybdenum converters. NO2 is catalytically converted to NO on a heated molybdenum surface and then measured as NO by chemiluminescence after reaction with ozone. Calibration of the instrument was performed every other day using external calibration cylinders with a multipoint calibration technique during the measurement period. For the comparison, the nearest OMI pixels to each measurement site are selected and averaged for a given day. Furthermore, we averaged the NO<sub>2</sub> in situ measurements over 12:00 - 14:00 LT (from all four sites) to correspond to the OMI overpass. We exclude comparisons for pixels with cloud radiance fractions greater than 0.2 as well as for the days when surface-based measurements were not taken. In total 88 measurements remain following the filtering processes. The molybdenum converter also partially converts the other oxidized nitrogen compounds (such as HNO<sub>3</sub>, PAN) to NOx and can overestimate NO<sub>2</sub>, particularly in the afternoon [Dunlea et al., 2007]. We do not have the means to correct the surface concentrations but interpret them as an upper limit for the true NO2 concentrations. Direct comparison of NO<sub>2</sub> columns retrieved from OMI with surface NO<sub>2</sub> measurements taken in Delhi are highly correlated, with a correlation coefficient of 85% (not shown here).

[33] For a more quantitative comparison for ground-based data with tropospheric vertical column densities (VCDs) from OMI, OMI measurements were scaled to NO<sub>2</sub> mixing ratios measured at the surface using the procedure described by *Lamsal et al.* [2008]. *Lamsal et al.* [2008] inferred surface-level NO<sub>2</sub> concentration (S) from OMI tropospheric vertical column densities ( $\Omega$ ) by applying the ratio of surface-level NO<sub>2</sub> concentration (S<sub>G</sub>) to vertical column density ( $\Omega$ <sub>G</sub>) calculated by a global model as:

$$\mathbf{S} = (S_G / \Omega_G) \times \Omega. \tag{5}$$

[34] Here we infer surface NO<sub>2</sub> from OMI measurements with WRF-Chem simulations over Delhi [*Beig et al.*, in preparation] using above relationship. WRF-Chem simulations were conducted as part of the MoES SAFAR project. Again we find a high correlation and agreement when surface measurements are compared with surface NO<sub>2</sub> derived from OMI NO<sub>2</sub> columns using equation (5) (r=0.85, n=88, p=0.0001, slope=0.87; see Figure 13a and 13b).



Figure 12. SCIAMACHY (measured [purple] and fitted [blue]) and OMI (measured [green] and fitted [red]) time series of tropospheric  $NO_2$  averaged over major anthropogenic source regions in India for 2003 - 2011 and 2005 - 2011, respectively. Annual mean SCIAMACHY (blue) and OMI (red) tropospheric  $NO_2$  columns are shown for the same period.



**Figure 13.** (a) Comparison of OMI inferred surface  $NO_2$  (red) with surface in situ  $NO_2$  measurements (blue) averaged from four monitoring sites in Delhi (from 23 September 2010 to 10 January 2011). (b) Correlation between OMI inferred surface  $NO_2$  and surface  $NO_2$ .

Outliers in Figure 13a and 13b likely reflect transport and free tropospheric processes. Table 2 summaries the average difference between surface NO2 calculated from OMI NO2 with respect to surface-based NO2 measurements, as well as minimum and maximum difference during the study period. The mean and maximum differences between surface-NO<sub>2</sub> and OMIderived NO<sub>2</sub> are approximately 2 ppb and 30 ppb, respectively. Most of the OMI-derived surface NO<sub>2</sub> values (85%) agree with surface-based measurements to within 30%. The slope in Figure 13b (0.87) indicates that surface NO<sub>2</sub> measurements are lower than OMI-derived surface NO<sub>2</sub> over the study region. Given the fact that surface NO<sub>2</sub> measurements with molybdenum converter overestimates NO2 concentration, our OMI-derived NO2 values are higher than the surface measurements. This may be caused by the uncertainties introduced by the ratio of surface-level NO2 concentration to vertical column density calculated by the model in equation (5). Given these statistics, the agreement between OMI observations and surface measurements is significant and supports the feasibility of using surface measurements in validating day-to-day variations in satellite-derived NO<sub>2</sub>.

**Table 2.** Comparison Between Surface NO<sub>2</sub> Concentrations Inferred From OMI Tropospheric NO<sub>2</sub> VCDs and Surface In Situ NO<sub>2</sub> Measurements

	Average	$\text{SD}\ (\pm)$	Min	Max
OMI Trop NO <sub>2</sub> $(10^{15} \text{ molecules/cm}^2)$	8.30	8.3	1.6	27.9
$OMI_{Sur} NO_2 (ppb)$	13.9	9.3	2.6	46.9
Surface $NO_2$ (ppb)	16	9.9	4.7	62.6
OMI <sub>Sur</sub> – Surface NO <sub>2</sub> (ppb)	-2.1	5.7	-18.3	27.9

# 4.3.3. Estimation of NOx emissions from specific point sources

[35] In the next step, we estimate NOx emission for a set of large cities and high-capacity thermal power plants using OMI observations. We consider only power plants that are located in rural regions and away from large urban centers. We adopt a mass balance approach [Leue et al., 2001; Martin et al., 2003] and consider cloud-free (cloud fraction 20%) NO2 tropospheric column retrievals averaged for December 2006. To infer the NOx emission by mass balance requires information on the tropospheric NOx to NO<sub>2</sub> ratio and the lifetime of NOx as stated in equation (4). Here we assume a typical  $NO/NO_2$  ratio of 0.32 [Seinfeld and Pandis, 2006] and a lifetime of approximately 5 h [Spicer, 1982; Beirle et al., 2011] under urban conditions at noon. We also attempted to estimate this ratio using the WRF-Chem model and found NO/NO2 ratio of 3.16 over strong anthropogenic source regions. Recently, Sharma et al. [2011] reported a similar NO/NO<sub>2</sub> ratio (0.31) based on surface measurements in Delhi, confirming that our choice of the NO/NO<sub>2</sub> ratio considered in this study is reasonable. It should be noted here that uncertainty in the retrieval of the tropospheric NO<sub>2</sub>, site-specific NO/NO<sub>2</sub> ratio, and lifetime of  $NO_2$  is a source of error in application of equation (4) and is expected to add uncertainty to the top-down estimate. However, this method provides first-hand information about the NOx emission from the large point sources and can be used for verifying emission estimates from other bottom-up inventories. Table 3 presents the derived NOx emission E for individual large cities and thermal power plants and comparison with two bottom-up emission inventories: the Intercontinental Chemical Transport Experiment-Phase B (INTEX-B) and EDGAR(V4.2). INTEX-B provides emission on a 0.5°  $0.5^{\circ}$  grid, and the EDGAR data set consists of  $0.1^{\circ} \times 0.1^{\circ}$ gridded emissions. For comparing the emissions, we regridded the OMI observations and EDGAR emissions on  $0.5^{\circ} \times 0.5^{\circ}$  resolution. Among the cities considered, the highest emission has been estimated for Delhi  $(14.2 \times 10^{11}$ molecules  $\text{cm}^{-2} \text{ s}^{-1}$ ), which is in fair agreement with INTEX-B (11.8 × 10<sup>11</sup> molecules cm<sup>-2</sup> s<sup>-1</sup>) [*Zhang et al.*, 2009]. Total emissions from the EDGAR inventory for Delhi are  $21.4 \times 10^{11}$  molecules cm<sup>-2</sup> s<sup>-1</sup>, much higher than INTEX-B and our estimate. For Kolkata, the top-down estimate is a factor of 1.5 and 2.6 lower than the INTEX-B and EDGAR emissions, respectively. Among the thermal power plant sources considered, the derived emissions for Talcher, Korba, and Kota are in generally good agreement with the INTEX-B estimates. EDGAR emissions are, however, a factor 4 higher than the top-down estimate over these locations (except for Kota, which is lower than our estimate by a factor of 3). EDGAR emissions from Satpura, Raichur, and Nagpur are in good agreement with the top-down

Location	Top-Down( $10^{11}$ Molecules cm <sup>-2</sup> s <sup>-1</sup> )	INTEX-B( $10^{11}$ Molecules cm <sup>-2</sup> s <sup>-1</sup> )	EDGARv4.2(10 <sup>11</sup> Molecules cm <sup>-2</sup> s <sup>-1</sup> )
Cities			
Delhi	14.3	11.8	21.4
Mumbai	6.3	1.0	8.1
Kolkata	5.4	8.1	14.3
Banglore	3.8	2.1	5.1
Hyderabad	2.7	0.9	1.7
Punducherry	3.8	1.6	15.8
Chennai	3.4	4.7	8.0
Kanpur	3.1	0.8	2.2
TPP			
Singrauli + Rihnd + Orba	7.6	4.7	21
Talcher	5.6	5.6	22.9
Korba	5.6	6.3	19.7
Ramaguddam	4.0	2.8	15.8
Chandrapur	3.2	_	15.0
Kota	3.5	4.1	1.1
Nagpur	3.2	1.1	5.5
Raichur	3	7.8	7.0
Satpura	2.6	0.3	6.6

**Table 3.** NOx Emission Estimate for Individual Point Sources (Cities and Thermal Power Plants [TPP] on  $0.5 \times 0.5$  Grid Resolution) From the Top-Down Approach (This Study), INTEX-B, and EDGAR(v4.2) Inventories for 2006

estimate, but INTEX-B shows a large difference. Several cities (e.g., Mumbai, Hyderabad, Kanpur, Pondicherry) and power plant sources (e.g., Ramagundam, Chandrapur, collective emission from Singrauli, Rihand, and Orba, etc.) show large deviations between top-down and INTEX-B and/or ED-GAR emissions. For example, our top-down estimate for Mumbai city is about  $6.3 \times 10^{11}$  molecules cm<sup>-2</sup> s<sup>-1</sup>, which is of the order of magnitude expected according to anthropogenic activity in the city [*Sahu*, 2010] and is comparable to EDGAR emissions. However, INTEX-B emissions are lower by a factor of 6.

### 5. Conclusions

[36] In this study, for the first time, we compare the SCIAMACHY and OMI tropospheric  $NO_2$  column retrievals over India and present a spatial assessment of different NOx sources. Both instruments observe similar spatial patterns of  $NO_2$  hot spots, but OMI reveals more clear structures because of its higher spatial resolution. We also find that wintertime SCIAMACHY  $NO_2$  column amounts are generally higher than OMI over large urban/suburban areas and over the marine boundary layer downwind of strong emission sources, reflecting diurnal variation in chemistry and emissions.

[37] We show that characteristics of seasonal variability in NO<sub>2</sub> can be exploited to examine dominant NOx source types on a regional scale. We have developed a simple classification scheme to identify regions dominated by biomass burning, soil, and anthropogenic NOx emission sources. Regions characterized as biomass burning are found to generally agree with the distribution of ATSR fire counts over India. Relating OMI NO<sub>2</sub> columns in Northeast India to surface NOx emissions using an inverse method, we found that biomass burning emission account for an average flux of  $1.55 \times 10^{11}$  molecules cm<sup>-2</sup> s<sup>-1</sup> during the peak burning period. Extrapolating this flux to the total MODIS (MCD45A1) estimate of burned area for India for the year 2005 (62,200 km<sup>2</sup>), we estimate 72 Gg of N Yr<sup>-1</sup>emissions from the biomass burning. This is on the order of but lower

than the EDGAR estimate of 112 Gg (N) and the GICC estimate of 90 Gg (N) for the same year. A significant enhancement in ozone concentrations during biomass burning season in Northeast India was found, suggesting photochemical ozone formation from fire-emitted precursors with an increase of ~6 ppb of ozone per  $1 \times 10^{15}$  molecules  $cm^{-2}$  increase in tropospheric NO<sub>2</sub>. Similarly, using the classification scheme, we inferred regions dominated by anthropogenic NOx sources and found these generally to agree the locations of major industrial regions and urban centers in India. We find a growth rate of  $3.8\% \pm 2.2\%$  yr<sup>-</sup> between 2003 and 2011 for anthropogenic sources, which is related to the growth in oil and coal consumption in India. This growth rate is comparable with the estimate made by EDGAR (V4.2; 4.2% yr<sup>-1</sup>), GAINS (3.6% yr<sup>-1</sup>), or *Garg* et al. [2006] (4.4% yr<sup>-1</sup>) emission inventories. We further show that surface in situ measurements from monitoring networks in urban locations can provide useful information to validate indirectly NO<sub>2</sub> column measurements from space and could be used to estimate NOx emission for hot spot regions. We estimated NOx emission for a set of large cities and high-capacity thermal power plants, relating OMI observations to surface NOx emission. The comparison of top-down estimated emission with the INTEX-B inventory for a few locations such as Delhi, Bangalore, Chennai Korba, Talcher, and Kota shows overall fair agreement but differs significantly for areas such as Mumbai, Hyderabad, Kanpur, Ramagundam, and Chandrapur. Similarly, comparison between top-down estimated emission with the EDGAR inventory shows fair agreement for locations such as Mumbai, Kanpur, and Banglore but significantly differ for the other locations.

[38] This work illustrates the promise of our approach for understanding the spatiotemporal variability of NOx sources in India and for indirect validation of satellite products. Additional ground-based in situ NO<sub>2</sub> observations over a wide geographical area would be useful to increase our understanding of the spatiotemporal variability of emissions, transport, and photochemistry over the Indian region and to support analysis and validation of satellite retrievals. This study provides further incentive to re-examine NOx emissions from hot spot sources in India to identify and constrain uncertainties in current emission inventory.

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